Receipt date: 11/21/2006

10589459 - GAU: 3742

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Number 10589459 Filing Date 2006-08-14 INFORMATION DISCLOSURE First Named Inventor Andrew M. Halliday et al. STATEMENT BY APPLICANT Art Unit 1761 (Not for submission under 37 CFR 1.99) /Jianying Atkisson/ **Examiner Name** Not yet assigned Attorney Docket Number 1410/67681

U.S.PATENTS								Remove			
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue D)ate	of sited Decument		Pages,Columns,Lines where Relevant Passages or Releva Figures Appear			
	1										
If you wish to add additional U.S. Patent citation information please click the Add button.							Add				
			U.S.P.	ATENT	APPLI	CATION PUBL	LICATIONS		Remove		
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	tion	of cited Document			ges,Columns,Lines where evant Passages or Relevant ures Appear		
	1										
If you wis	h to ac	dd additional U.S. Publi	shed Ap	plication	citation	n information p	lease click the Add	d button	Add		
				FOREIG	3N PAT	ENT DOCUM	ENTS		Remove		
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²		Kind Code ⁴	Publication Date	Name of Patentee Applicant of cited Document	∍or V F	vhere Rele	or Relevant	T5
	1	01/82760	WO		A1	2001-11-08	Kollep et al.				
	2	02/19875	WO		A1	2002-03-14	Colston et al.				
	3	02/28241	WO		A1	2002-04-11	Boyd et al.				

Receipt date: 11/21/2006	Application Number		10589459	10589459 -	GAU: 3742
INFORMATION BIOCH COURT	Filing Date		2006-08-14		
INFORMATION DISCLOSURE	First Named Inventor Andre		w M. Halliday et al.		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1761		
(Notion Submission under or or it 1.00)	Examiner Name Not y		Not yet assigned		
	Attorney Docket Number		1410/67681	<u> </u>	

					T	
4	02/074143	wo	A2	2002-09-26	Sargent et al.	
5	02/074661	wo	A1	2002-09-26	Dalton et al.	
6	02/082962	wo	A1	2002-10-24	Lazaris et al.	
7	02/085170	wo	A2	2002-10-31	Duffy et al.	
8	02/085170	wo	А3	2003-03-13	Duffy et al.	
9	02/087400	wo	A1	2002-11-07	Hayes et al.	
10	02/092439	wo	A2	2002-11-21	Edwards et al.	
11	03/026470	wo	A2	2003-04-03	Knitel	
12	03/059778	wo	A2	2003-07-24	Denisart et al.	
13	03/059778	wo	А3	2004-01-08	Denisart et al.	
14	03/039309	wo	A1	2003-05-15	Zurcher et al.	

Receipt date: 11/21/2006			Applic	Application Number Filing Date			10589459 105	589459 - GAU	: 3742			
			Filing				2006-08-14					
INFORMATION DISCLOSURE				First N	First Named Inventor Andre			rew M. Halliday et al.	rew M. Halliday et al.			
STATEMENT BY APPLICANT			Art Unit			ı	1761					
(Not for submission under 37 CFR 1.99)					Examiner Name Not ye			yet assigned				
				Attorr	ey Doo	ket Numb	er	1410/67681				
	I	I							I			
		20/205252										
	15	03/065859	wo		A2	2003-08-1	4	Green				
	40	02/005050	14/0			2002 42 2		0				
	16	03/065859	wo		A3	2003-12-3	1	Green				
If you wis	h to a	│ dd additional Foreigr	Patent Do	cument	citation	 n informatio	n ple	ease click the Add buttor	Add			
						ERATURE			Remove			
		Include name of the	e author (in	CAPITA	AL LET	TERS), title	e of t	the article (when approp	riate), title of the iter	n l		
Examiner Initials*	Cite No	(book, magazine, jo	al, symposium, catalog, etc), date, pages(s), volume-issue number(s),					T5				
		publisher, city and/	or country v	vhere p	ublishe	d. 						
	1											
If you wis	h to a	dd additional non-pa	tent literatui					on please click the Add I	outton Add			
		. / liam	ring Atkisso		AMINE	R SIGNAT	UKE		07/10/0011			
		aturo I /Jiasuv	ing Amssc)11/				Date Considered	07/18/2011			
Examiner	Signa	iture rotarry										
*EXAMIN	ER: Ir	itial if reference cons	sidered, wh					ormance with MPEP 609 vith next communication	•	a		
*EXAMIN	ER: Ir	itial if reference cons	sidered, wh						•	a		

⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

	Attorney Docket Numb	er	1410/67681	
(Not lot businession under or of it not)	Examiner Name	Not ye	et assigned	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1761	
INFORMATION DISCLOSURE	First Named Inventor	Andre	w M. Halliday et al.	
INFORMATION BIOCH COURT	Filing Date		2006-08-14	
Receipt date: 11/21/2006	Application Number		10589459	10589459 - GAU: 3742

	CERTIFICATION STATEMENT							
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):							
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
OR	OR							
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).							
	See attached ce	rtification statement.						
	Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.							
×								
SIGNATURE A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.								
Sigr	nature	/Jeffrey A. Chelstrom/	Date (YYYY-MM-DD)	2006-11-21				
Nan	ne/Print	Jeffrey A. Chelstrom	Registration Number	57,915				
	•							

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Receipt date: 11/21/2006 10589459 - GAU: 3742

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
 court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
 negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a
 request involving an individual, to whom the record pertains, when the individual has requested assistance from the
 Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.